

provisions of this part, except for violations of the provisions relating to delayed filing of documents under bond as provided by §30.24 and violations of section 8 of Public Law 108-19, the Clean Diamond Trade Act, shall be liable to the United States in an amount not exceeding \$1,000 for each violation, as authorized by section 305, chapter 9, title 13 U.S.C.

[68 FR 59879, Oct. 20, 2003]

§ 30.99 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

(a) *Purpose.* This subpart will comply with the requirements of section 3507(f) of the Paperwork Reduction Act (PRA) which requires that agencies display a current control number assigned by the Director of OMB for each agency information collection requirement.

(b) *Display.*

15 CFR section where identified and described	Current OMB control no.
30.1 through 30.7	0607-0001, -0018, -0150, -0152
30.8	0607-0001
30.9 through 30.11	0607-0001, -0018, -0152
30.12 and 30.15	0607-0001, -0018, -0152
30.16	0607-0001, -0018, -0150, -0152
30.20 through 30.24	0607-0001, -0018, -0150, -0152
30.30 through 30.31	0607-0018, -0150, -0152
30.33 through 30.35 and 30.37.	0607-0001, -0018, -0150, -0152
30.39	0607-0018, -0150, -0152
30.40, 30.41, and 30.50 through 30.53.	0607-0001, -0018, -0150, -0152
30.54	0607-0018
30.55 through 30.57	0607-0001, -0018, -0150, -0152
30.82	0607-0018, -0152
30.91 through 30.95	0607-0001, -0018, -0150, -0152

[48 FR 56744, Dec. 23, 1983]

APPENDIX A TO PART 30—FORMAT FOR LETTER OF INTENT, AUTOMATED EXPORT SYSTEM (AES)

The first requirement for participation in AES is a Letter of Intent. The Letter of Intent is a written statement of a company's desire to participate in the AES. It must set forth a commitment to develop, maintain, and adhere to CBP and Census performance requirements and operations standards. Once the letter of intent is received, a CBP Client Representative and U.S. Census Bureau Client Representative will be assigned to the company. Census will forward additional information to prepare the company for participation in AES.

A. Letters of Intent should be on company letterhead and must include:

1. Company Name, Address (no P.O. Boxes), City, State, Postal Code
2. Company Contact Person, Phone Number, Fax Number, E-mail Address
3. Technical Contact Person, Phone Number, Fax Number, E-mail Address
4. Corporate Office Address, City, State, Postal Code
5. Computer Site Location Address, City, State, Postal Code (Where transmissions will be initiated)
6. Type of Business—USPPI, Freight Forwarder/Broker, Ocean Carrier, Software Vendor, Service Center, etc. (Indicate all that apply)
 - (i) Freight Forwarders/Brokers, indicate the number of USPPIs for whom you file export information (SEDs)
 - (ii) USPPIs, indicate whether you are applying for AES Option 2 or Option 4
7. U.S. Ports of Export Currently Utilized
8. Average Monthly Volume of Export Shipments (Monthly SED volume)
9. Average Monthly Value of Export Shipments (Monthly SED volume)
10. Filer Code—EIN, SSN or SCAC (Indicate all that apply)
11. Software Vendor Name, Contact, and Phone Number (if using vendor provided software)
12. Look-a-Like Remote to Copy (as provided by vendor)
13. Modes of Transportation used for export shipments (Air, Vessel, Truck, Rail, etc.)
14. Types of Merchandise exported
15. Types of Licenses or Permits
16. Anticipated Implementation Date

B. The following self-certification statement, signed by an officer of the company, must be included in your letter of intent: "We (COMPANY NAME) certify that all statements made and all information provided herein are true and correct. I understand that civil and criminal penalties, including forfeiture and sale, may be imposed for making false or fraudulent statements herein, failing to provide the requested information or for violation of U.S. laws on exportation (13 U.S.C. 305; 22 U.S.C. 401; 18 U.S.C. 1001; 50 U.S.C. App. 2410)."

C. The AES Option 4 privilege allows a USPPI to submit complete data at any time prior to or after exportation provided complete data are submitted within 10 working days after exportation. Participants will be reviewed by several government agencies prior to acceptance into the Option 4 program.

D. Send AES or Option 4 Letter of Intent to: Chief, Foreign Trade Division, U.S. Census Bureau, Washington, DC 20233 or the copy can be faxed to: 301-457-1159.

[64 FR 40981, July 28, 1999, as amended at 68 FR 42543, July 17, 2003]

Pt. 30, App. C

APPENDIX B TO PART 30 [RESERVED]

APPENDIX C TO PART 30—ELECTRONIC
(AES) FILING CODES

Part I—Method of Transportation Codes

- 10 Sea
- 11 Sea Containerized
- 12 Sea (Barge)
- 20 Rail
- 21 Rail Containerized
- 30 Truck
- 31 Truck Containerized
- 32 Auto
- 33 Pedestrian
- 34 Road, Other
- 40 Air
- 41 Air Containerized
- 50 Mail
- 60 Passenger, Hand Carried
- 70 Fixed Transport (Pipeline and Powerhouse)

Part II—Export Information Codes

- LC Shipments valued \$2,500 or less per classification number that are required to be reported
- TP Temporary exports of domestic merchandise
- IP Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing
- IR Shipments of merchandise imported under a Temporary Import Bond for repair
- DB Drawback
- CH Shipments of goods donated for charity
- FS Foreign Military Sales
- OS All other exports
- HV Shipments of personally owned vehicles
- HH Household and personal effects
- SR Ship's stores
- TE Temporary exports to be returned to the United States
- TL Merchandise leased for less than a year
- IS Shipments of merchandise imported under a Temporary Import Bond for return in the same condition
- CR Shipments moving under a carnet
- GP U.S. government shipments
- LV Shipments valued \$2,500 or less that are not required to be reported
- SS Carriers' stores for use on the carrier
- MS Shipments consigned to the U.S. Armed Forces
- GS Shipments to U.S. government agencies for their use
- DP Diplomatic pouches
- HR Human remains
- UG Gift parcels under Bureau of Industry and Security License Exception GFT
- IC Interplant correspondence
- SC Instruments of international trade
- DD Other exemptions:
 - Currency
 - Airline tickets

15 CFR Subtitle B, Ch. I (1–1–05 Edition)

- Bank notes
- Internal revenue stamps
- State liquor stamps
- Advertising literature
- Shipments of temporary imports by foreign entities for their use
- RJ Inadmissible merchandise
- (For Manifest Use Only by AES Carriers)
- RP Shipment information filed through Census Bureau's AERP
- AE Shipment information filed through AES
- ZD Duty deferred shipments filed via AES
- FI Impelled foreign military sales
- CI Impelled goods donated for charity
- OI All other impelled exports
- (See §§30.50 through 30.58 for information on filing exemptions.)

Part III—License Codes

- Department of Commerce, Bureau of Industry and Security (BIS) Licenses
 - C30 BIS Licenses
 - C31 SCL
 - C32 NLR (CCL/NS Column 2)
 - C33 NLR (All Others)
 - C34 Future Use
 - C35 LVS
 - C36 GBS
 - C37 CIV
 - C38 TSR
 - C39 CTP
 - C40 TMP
 - C41 RPL
 - C42 GOV
 - C43 GFT
 - C44 TSU
 - C45 BAG
 - C46 AVS
 - C47 APR
 - C48 KMI
 - C49 TAPS
 - C50 ENC
 - C51 AGR
- Nuclear Regulatory Commission (NRC) Codes
 - N01 NRC Form 250/250A
 - N02 NRC General License
- Department of State, Directorate of Defense Trade Controls (DDTC) Codes
 - SAG Agreements
 - S00 License Exemption Citation
 - S05 DSP-5
 - S61 DSP-61
 - S73 DSP-73
 - S85 DSP-85
 - S94 DSP-94
- Department of Treasury, Office of Foreign Assets Control (OFAC) Codes
 - T10 OFAC Specific License
 - T11 OFAC General License

Census Bureau, Commerce

§ 40.1

Other License Types

OPA Other Partnership Agency Licenses
not listed above
SCA Canadian ITAR Exemption

For export license exemptions under International Traffic in Arms Regulations, refer to 22 CFR, Parts 120—130 of the ITAR for the list of export license exemptions.

Part IV—In-Bond Codes

70 Not-In-Bond
36 Warehouse Withdrawal for Immediate
Exportation
37 Warehouse Withdrawal for Transportation
and Exportation
67 Immediate Exportation from a Foreign
Trade Zone
68 Transportation and Exportation from a
Foreign Trade Zone

[64 FR 40982, July 28, 1999, as amended at 68
FR 42543, July 17, 2003]

PART 40—TRAINING OF FOREIGN PARTICIPANTS IN CENSUS PRO- CEDURES AND GENERAL STATIS- TICS

Sec.

- 40.1 Type of grant.
- 40.2 Qualifications.
- 40.3 Cooperation with bilateral technical assistance programs of the United States.
- 40.4 Administrative provisions on selection of participants and funding of costs.
- 40.5 Other cooperative arrangements.

AUTHORITY: 5 U.S.C. 301; 22 U.S.C. 1456; 31 U.S.C. 686. Memorandum of Agreement between the Department of Commerce and the Foreign Operations Administration Concerning Foreign Technical Assistance Work, signed June 10, 1954.

SOURCE: 28 FR 119, Jan. 4, 1963, unless otherwise noted.

§ 40.1 Type of grant.

Training grants will be awarded by the Agency for International Development (AID), in its capacity as the bilateral technical assistance agency for the United States Government, to foreign participants for training, observation, and research in the fields of censuses and statistics at the Bureau of the Census. In compliance with the needs of the participants and consistent with resources of the Bureau, training programs will be developed along the lines of a combined interne-training and/or training-in research

types, and may include any or all of the following:

(a) Conference courses designed to provide the trainee with adequate background information on (1) organization and administration of the United States Bureau of the Census, (2) subject-matter areas for which the Bureau of the Census collects and compiles statistical information, (3) nature and scope of the major statistical programs maintained by other federal government agencies, (4) techniques and scope of the periodic censuses and statistical surveys, and statistical compilations undertaken by the Bureau of the Census, and (5) relation of censuses to other statistical data collected and analyzed by U.S. agencies.

(b) Seminars laboratory exercises and observation of work in the Census Bureau and other agencies with specific applicability to the participant such as (1) development of census and survey questionnaires, (2) methods of field and mail enumeration, (3) procedures for editing and coding statistical forms, (4) use of office machines, electromechanical tabulation equipment, and automatic data processing systems for mass processing of statistical data, (5) definitions and scope of the subject matters involved in the censuses and statistical programs of the Bureau of the Census, (6) classification of industrial and business establishments, (7) classification of imports and exports, (8) techniques of making intercensal estimates of population, (9) sampling techniques and quality control procedures, (10) analyses and publication of data, and development of certain indexes; and (11) other topics, particularly in the development of new statistical programs and techniques.

(c) Formal courses at a college or university to supplement the seminars, conference-courses, and individual statistical projects developed, presented, or assigned by the Bureau; or enrolled on a full-time basis in a college or university to obtain the appropriate academic background for further work in the field of statistics in accordance with needs of participants and/or the program requirements of their countries.